

OCT 12 2004
U.S. PATENT AND TRADEMARK OFFICE

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

Application Number	09/426,793
Filing Date	10/23/1999
First Named Inventor:	Donald C. Mann
Examiner: Karl R. Frech	GROUP: 2876
Attorney Docket Number	ULT-001

U.S. PATENT DOCUMENTS

Examiner Initials*	Cited No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
ICDF	D01	US-5,288,942	02/1994	Godfrey	
	D02	US-5,356,717	10/1994	Choki et al.	
	D03	US-6,184,788	B1	Middlemiss et al.	
	D04	US-2001/0052543	A1	Liu et al.	
	D05	US-6,430,114	B1	Wang et al.	
KOP	D06	US-6,677,105	B2	Wang et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Cntry Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear	T ⁶

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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DATE CONSIDERED: 11/19/04

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2. *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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AUG 27 2005

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GROUP: 2876

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Attorney Docket Number

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cited No. ¹	Document Number Number - Kind Code ^{2/3/known}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
KOF	A01	US-4,985,795	01/1991	Gooch	
	A02	US-5,051,288	09/1991	Ahlert et al.	
	A03	US-5,942,744	08/1999	Kamo et al.	
	A04	US-RE38,290	10/2003	Rose	
	A05	2003-0024995	A1	Conner et al.	
↓	A06	2003-0043485	A1	Conner et al.	
KOF	A07	2003-0218064	A1	Conner et al.	

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FORM PTO-1149
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DO C T NO.

5555 413436/010

SERIAL NO.
09/426,793

CITE LIST OF PRIOR ART CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT Donald C. Mann et al

FILING DATE
October 23, 1999GROUP
2876

U.S. PATENT DOCUMENTS

EXAMINER R INITIAL	DOCUMENT NUMBER								DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
KDF	AA	6	1	3	1	8	1	6	10/17/00	Smith		235	475	
	AB	6	0	7	9	6	2	1	06/27/00	Vardanyan et al		235	487	RECEIVED
	AC	5	9	4	1	3	7	5	08/24/99	Kamens et al		206	38	
	AD	5	9	0	1	3	0	3	05/04/99	Chew		711	115	AUG 30 2004
	AE	5	9	0	1	0	1	2	05/4/99	Ishida		360	88	
	AF	5	8	9	8	6	1	2	04/27/99	Chen et al		365	158	TECH CENTER 2800
	AG	5	8	9	5	9	0	9	04/20/99	Yoshida		235	487	
	AH	5	8	9	5	9	0	3	04/30/99	Abe et al		235	380	
	AI	5	8	8	9	2	7	3	03/30/99	Goto		235	492	
✓	AJ	5	8	8	6	3	3	3	03/23/99	Miyake		235	380	
KDF	AK	5	8	8	4	2	7	1	03/16/99	Pitroda		705	1	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER								DATE	COUNTRY		CLASS	SUBCLASS	TRANSLATION	
															YES	NO
KDF	AL	9	2	1	0	4	8	5	12/7/92	PCT Application W093/1292 08.07.93						
KDF	AM	0	1	9	4	6	7	5	09/17/86	European Pat Appln		4	G11B5/71			
KDF	AN	1	0	0	4	1	1	1	8	04/98	Japan Pat Appln (Abstract)				X	
✓	AO															
✓	AP															

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

KDF	AQ	A High Resolution Flying Magnetic Disk Recording System With Zero Reproduce Spacing Loss; B.Gooch, R.Niedermeyer, R.Wood and R.Pisharody													
		Pages 4545-4554, IEEE Transactions on Magnetics, Volume 27, No.6, November 1991 (The "Gooch Reference")													
KDF	AR	One (1) Page Advertising Brochure; SysTECH; Wallet size CDROM;													
		Copyright 1999													

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<i>[Signature]</i>		11/19/04
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U.S. PATENT DOCUMENTS

EXAMINER R INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
160F	BA 5 8 8 3 3 7 7	03/16/99	Chapin	235	493	
	BB 5 8 8 0 4 4 5	03/09/99	Mori et al	235	380	
	BC 5 8 6 9 8 2 3	02/09/99	Bublitz et al	235	380	RECEIVED
	BD 5 8 6 6 8 9 1	02/02/99	Fujimoto et al	235	435	
	BE 5 8 6 2 1 7 4	01/19/99	Yokota	375	219	AUG 30 2004
	BF 5 8 6 1 2 2 0	01/19/99	Coughlin	428	694	TECH CENTER 2002
	BG 5 8 5 9 4 1 9	01/12/99	Wynn	235	487	
	BH 5 8 5 7 0 7 9	01/05/99	Claus et al	704	33	
	BI 5 8 5 2 2 8 9	12/22/98	Masahika	235	492	
160F	BJ 5 8 5 1 6 8 8	12/22/98	Chen et al	428	694	
160F	BK 5 8 4 4 2 3 0	12/1/98	Lalonde	235	487	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
				YES	NO
BL					
BM					
BN					
BO					
BP					

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

160F	BQ	(One Page) Advertising Brochure; iomega Click! PC Card Drive (40 MB Disk) Iomega Corporation, 1999.
160F	BR	Three (3) Pages / Gold CD Mini Rom(Picture); Back/Front Packaging for CD Mini Rom AOL; America On Line; 1995

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EXAMINER INITIAL	DOCUMENT NUMBER								DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>LC</i>	CA	5	8	4	4	2	1	8	12/01/98	Kawan et al		235	380	
	CB	5	8	4	3	5	6	5	12/1/98	Davies et al		428	212	
	CC	5	8	3	4	7	5	6	11/10/98	Gutman et al		235	493	RECEIVED
	CD	5	8	2	8	0	5	3	10/27/98	Kinugasa		235	492	
	CE	5	8	2	5	3	9	3	10/20/98	Kocznar et al		347	171	<i>AUG 30 2004</i>
	CF	5	7	8	9	7	3	3	08/04/98	Jachimowicz et al		235	492	
	CG	5	7	7	7	3	0	6	07/07/98	Masuda		235	380	<i>TECH CENTER 2800</i>
	CH	5	7	7	0	9	4	2	06/23/98	Tagchi		342	207.21	
	CI	5	7	6	3	8	6	2	06/09/98	Jachimowicz et al		235	380	
	CH	5	7	4	8	7	3	7	05/05/98	Daggar		380	24	
<i>✓</i>	CJ	5	7	3	8	9	4	5	04/14/98	Lal et al		428	611	
<i>KDD</i>	CK	5	7	3	4	1	5	4	03/31/98	Jachimowicz et al		235	492	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
					YES	NO	
	CL						
	CM						
	CN						
	CO						
	CP						

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EXAMINER INITIAL	DOCUMENT NUMBER									DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
KDF	DA	5	7	2	3	0	3	3	03/03/98	Weiss	204	192.15			
	DB	5	7	2	0	5	0	0	02/24/98	Okazaki et al	360	81			
	DC	5	7	1	4	7	4	7	02/03/98	West et al	235	493	RECEIVED		
	DD	5	7	1	3	4	0	6	02/03/98	Drury	150	132			
	DE	5	6	9	8	8	3	9	12/16/97	Jagielinski	235	493	AUG 30 2004		
	DF	5	6	7	9	9	4	5	10/21/97	Renner et al	235	492			
	DG	5	6	7	9	9	4	2	10/21/97	Toyama	235	449	TECH CENTER 2890		
	DH	5	6	3	7	1	7	4	06/10/97	Field et al	156	256			
	DI	5	6	1	2	5	2	6	03/18/97	Oguchi et al	235	375			
↓	DJ	5	6	0	9	2	5	3	03/11/97	Goade	206	460			
KDF	DK	5	5	9	8	4	7	4	01/28/97	Johnson	380	23			

FOREIGN PATENT DOCUMENTS

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	DL											
	DM											
	DN											
	DO											
	DP											

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	DQ		
	DR		
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09/426, 793

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EXAMINE R INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
KDF	EA 5 5 8 8 7 6 3	12/31/96	Nubson et al	400	700	
	EB 5 5 5 9 8 8 5	09/24/96	Drexler et al	380	23	
	EC 5 5 5 7 0 8 9	09/17/96	Hall et al	235	440	RECEIVED
	ED 5 5 3 5 0 7 8	07/09/96	Warwick	360	119	AUG 30 2004
	EE 5 5 3 0 2 3 2	06/35/96	Taylor	235	380	
	EF 5 5 2 1 7 7 4	05/28/96	Parks et al	360	81	TECH CENTER 2800
	EG 5 5 0 9 0 8 3	04/16/96	Abtahi et al	382	124	
	EH 5 4 8 0 6 8 5	01/02/96	Suzuki et al	427	548	
	EI 5 4 6 6 9 1 8	11/14/95	Ray et al	235	380	
↓ KDP	EJ 5 4 5 2 1 4 3	09/19/95	Kamagami	360	2	
KDP	EK 5 4 3 1 7 4 6	07/11/95	Manning et al	148	122	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>
EL						
EM						
EN						
EO						
EP						

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EQ		
ER		

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<i>LCJ</i>	FA	5	4	2	6	2	8	6	06/20/95	Nair		235	449		
	FB	5	4	2	1	6	1	8	06/6/95	Okazaki et al		283	82		
	FC	5	4	1	0	1	3	6	04/25/95	Mcintire et al		235	380	<i>RECEIVED</i>	
	FD	5	3	9	7	8	8	6	03/14/95	Mos et al		235	475		<i>AUG 30 2004</i>
	FE	5	3	9	6	5	4	5	03/07/95	Nair et al		379	91		
	FF	5	3	9	6	3	6	9	05/7/95	Deland Jr et al		360	2		<i>TECH CENTER 2800</i>
	FG	5	3	6	2	9	5	2	11/08/94	Nair et al		235	449		
	FH	5	3	3	6	8	7	1	10/09/94	Colgate Jr		235	380		
	FI	5	3	2	1	2	4	3	06/14/94	Groves et al		235	449		
<i>V</i>	FJ	5	3	1	1	0	0	3	05/10/94	Saroya		235	485		
<i>KOP</i>	FK	5	3	0	9	3	8	8	05/03/94	Maruyama et al		365	87		

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		DOCUMENT NUMBER								DATE	COUNTRY		CLASS	SUBCLASS	TRANSLATION	
															YES	NO
	FL															
	FM															
	FN															
	FO															
	FP															

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	FR															

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KDF	GA	4	9	7	8	4	0	1		12/18/90	Bonomi	156	64	
	GB	4	9	3	7	4	3	8		06/26/90	Warwick et al	235	446	
	GC	4	9	3	1	6	2	3		06/05/90	Nakamura et al	235	488	RECEIVED
	GD	4	8	5	1	6	1	0		07/25/89	LeBlanc et al	174	35R	AUG 30 2004
	GE	4	8	3	3	3	1	0		05/23/89	Shimamura et al	235	479	
	GF	4	7	9	1	2	8	3		12/13/88	Burkhardt	235	438	TECH CENTER 2800
	GG	4	7	7	7	5	4	0		10/11/88	McCoy	360	2	
	GH	4	7	7	4	6	1	8		09/27/88	Raviv	360	133	
	GI	4	7	0	1	6	0	1		10/20/87	Francini et al	235	449	
✓	GJ	4	6	8	7	7	1	2		08/18/87	Sugita et al	428	611	
KDP	GK	4	6	2	0	7	2	7		11/04/86	Stockburger et al	283	82	

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		DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
														YES	NO
	GL														
	GM														
	GN														
	GO														
	GP														

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	GR														
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ICOK	HA 4 6 1 2 4 3 6	09/16/86	Okada	235	449	
	HB 4 5 8 5 9 2 9	04/29/86	Brown et al	235	449	
	HC 4 7 8 6 5 6 4	11/22/88	Chen et al	428	694TS	
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	HF 4 5 3 5 3 6 9	08/13/85	Sawazaki	360	55	
	HG 4 5 3 0 0 1 6	07/16/85	Sawazaki	360	55	TECH CENTER 2800
	HH 4 4 5 0 9 5 5	05/29/84	Featherston	206	39.6	
	HI 4 3 1 8 1 3 6	03/02/82	Jeffers	360	17	
	HJ 4 2 7 7 8 0 9	07/07/81	Fisher et al	360	131	
ICOK	HK 4 1 9 7 9 8 8	04/15/80	Moss et al	235	449	

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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES
HL						
HM						
HN						
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100	IA 4 1 4 1 4 0 0	02/27/79	Mangan	206	39.6	
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II						
IJ						
IK						

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